

|                              |                         |                        |                  |
|------------------------------|-------------------------|------------------------|------------------|
| 53C                          | 13.4                    | Subd. 1                | ISSUE CLASS/TYPE |
| UTILITY<br>SERIAL<br>NUMBER  |                         |                        |                  |
| <b>08/635130</b>             |                         |                        |                  |
| PATENT DATE                  |                         | PATENT NUMBER          |                  |
| 04/19/96                     |                         | 6696557                |                  |
| SERIAL NUMBER<br>08/635, 130 | FILING DATE<br>04/19/96 | CLASS<br>562           | SUBCLASS<br>534  |
|                              |                         | GROUP ART UNIT<br>1204 |                  |
|                              |                         | EXAMINER<br>1879       |                  |

**6696557**

APPLICANT  
INGRID W. CARAS, SAN FRANCISCO, CA.

\*\*CONTINUING DATA\*\*\*\*\*  
VERIFIED

*PAD*

\*\*FOREIGN/PCT APPLICATIONS\*\*\*\*\*  
VERIFIED

*Mark*

FOREIGN FILING LICENSE GRANTED 11/05/96

|   |   |   |          |                  |               |              |               |                     |                       |
|---|---|---|----------|------------------|---------------|--------------|---------------|---------------------|-----------------------|
| Foreign priority claimed<br>35 USC 119 conditions met | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no | AS FILED | STATE OR COUNTRY | Sheets DRWGS. | TOTAL CLAIMS | INDEP. CLAIMS | FILING FEE RECEIVED | ATTORNEY'S DOCKET NO. |
| Verified and Acknowledged                             | <i>PAD</i>  |   |          | CA               | 8 39          | 6            | 6             | \$1,532.00          | P1001                 |

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TITLE  
AL-2 NEUROTROPHIC FACTOR NUCLEIC ACID

U.S. DEPT. OF COMM./PAT. & TM—PTO-436L (Rev. 12-94)

|   |                             |  |                            |
|---|-----------------------------|--|----------------------------|
| PARTS OF APPLICATION FILED SEPARATELY   |                             | <i>C. E. D.</i><br>Applications Examiner |                            |
| NOTICE OF ALLOWANCE MAILED<br><i>6/25/95</i>  |                             | CLAIMS ALLOWED                           |                            |
|   |                             | Total Claims<br><i>2</i>                 | Print Claim<br><i>1</i>    |
| ISSUE FEE<br><i>13.00</i>   |                             | DRAWING                                  |                            |
| Amount Due<br><i>\$13.00</i>  | Date Paid<br><i>9/26/95</i> | Sheets Drwg.<br><i>8 15</i>              | Figs. Drwg.<br><i>8 15</i> |
|   |                             | Print Fig.<br><i>None</i>                |                            |
| Label Area  |                             | ISSUE BATCH NUMBER                       |                            |
|   |                             | PREPARED FOR ISSUE                       |                            |
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Form PTO-436A  
(Rev. 8/92)

**ISSUE FEE IN FILE**

(FACE)

## STAPLE AREA

|                                    |  |  |                                      |
|------------------------------------|--|--|--------------------------------------|
| PATENT NUMBER                      |  | ORIGINAL CLASSIFICATION                                    |                                      |
|                                    |  | CLASS  | SUBCLASS                             |
|                                    |  | 534  | 23.4                                 |
| APPLICATION SERIAL NUMBER          |  | CROSS REFERENCE(S)   |                                      |
| 08/035,130                         |  | CLASS  | SUBCLASS<br>(ONE SUBCLASS PER BLOCK) |
|                                    |  | 435  | 69.7                                 |
| APPLICANT'S NAME (PLEASE PRINT)    |  | C.A.R.A.S. et al   |                                      |
| IF REISSUE, ORIGINAL PATENT NUMBER |  |  |                                      |
|                                    |  | INTERNATIONAL CLASSIFICATION                               |                                      |
|                                    |  | C 07 H   | 31/04                                |
|                                    |  | 1645   | GROUP ART UNIT                       |
|                                    |  | ASSISTANT EXAMINER (PLEASE STAMP OR PRINT FULL NAME)       |                                      |
|                                    |  | PATRICIA A. DURST / <i>Patricia A. Durst</i>               |                                      |
|                                    |  | PRIMARY EXAMINER (PLEASE STAMP OR PRINT FULL NAME)         |                                      |
|                                    |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |                                      |